

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

MERCK 1883-C1

First Inventor or Application Identifier

David COATES et al.

Title

HOMEOTROPICALLY ALIGNED LIQUID CRYSTAL LAYER AND PROCESS
FOR THE HOMEOTROPIC ALIGNMENT OF LIQUID CRYSTALS ON
PLASTIC SUBSTRATES

10598 U.S. PTO
09/484259
01/18/00

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **32**

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **1**

4. ☒ Oath or Declaration Total Pages **5**

- a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 15 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. ☒ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4B, is considered to be part
of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☒ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Other:

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/059,297

Prior application information: Examiner: J. Dudek

Group Art Unit: 2871

16. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. 09/059,297 Filed on April 14, 1998

☒ This application claims priority of European application Serial No. 97 106 091.8 Filed April 14, 1998

17. CORRESPONDENCE ADDRESS

MILLEN, WHITE, ZELANO & BRANIGAN, P.C.
2200 CLARENDON BLVD., SUITE 1400
ARLINGTON, VIRGINIA 22201
TEL. (703) 243-6333
FAX (703) 243-6410

Name: Richard J. Traverso

Registration No.: 30,595

Signature:

Date: January 18, 2000